Docket No.

245600US0/p

ATES PATENT AND TRADEMARK OFFICE IN THE

IN RE APPLICATION OF:

Hideyuki OTSUKA, et al.

SERIAL NO:

10/714,853

GAU:

FILED:

November 18, 2003

EXAMINER:

FOR:

ELECTROPHOTOGRAPHIC PRINTING METHOD, MONOAZO IRON COMPLEX COMPOUND, CHARGE CONTROLLING AGENT USING THE SAME AND TONER USING THE CHARGE CONTROLLING AGENT

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR-

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references, some of which are cited in the attached International Search Report listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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STATEMENT OF RELEVANCY

References AT (JP 43-17955) on page 1 of Form PTO-1449:

English translation of relevant portion of JP-B-43-17955 published on July 30, 1968

The present inventors have developed an excellent dye having negative properties by noting this point. As this result, an improved developing powder having excellent electrostatic properties, which overcomes all of defects of a conventionally used dye, can be produced.

The present invention provides a negative electrophotographic developing powder containing a 2:1 type metal complex salt dye as a coloring agent, which is obtainable by metallizing a monoazo dye expressed by the general formula,

A-N=N-B

[wherein A represents a residue of a diazo component selected from the group consisting of O-aminophenol, 4-chloro-2-aminophenol, 4 or 5-nitro-2-aminophenol, 4,6-dichloro-2-aminophenol and anthranilic acid, and B represents a coupling component selected from the group consisting of β -naphthol, phenyl methyl pyrazolone, cresol and acetoacetic acid anilide (provided that there is no case that A is 5-nitro-2-aminophenol and B is β -naphthol at the same time)].

The above dye has electrostatically negatively chargeable properties, and it is quite easily possible to obtain a negatively chargeable developing powder by mixing with an appropriate resin for a developing powder at a desired ratio.

Reference AU (JP 43-27596) on page 1 of Form PTO-1440:

English translation of relevant portion of JP-B-43-27596 published on November 27, 1968

The present inventors have developed a pigment having negative properties and having a quite satisfactory compatibility with a used resin by noting this point. As this result, a developing powder having excellent electrostatic properties, which overcomes all of defects of a conventionally used coloring agent, can be produced.

The present invention provides an electrostatic developing powder containing a 2:1 type metal complex salt pigment as a coloring agent, which is expressed by the formula,

$$\begin{pmatrix}
A-N-N-B \\
Z & D \\
M \\
Z' & D' \\
A'-N-N-B'
\end{pmatrix}$$

$$\ominus$$
(K)

[wherein A and A' represent a residue of a compound selected from the group consisting of O-aminophenol, 4-chloro-2-aminophenol, 4 or 5nitro-2-aminophenol, 4,6-dichloro-2-aminophenol and anthranilic acid, which may be the same or different, B and B' represent a residue of a compound selected from the group consisting of β-naphthol, βnaphthylamine, N-methyl-β-naphthylamine, 1-phenyl-3-methyl-5pyrazolone, cresol and acetoacetic acid anilide, which may be the same or different (provided that there is no case that A and A' are 5-nitro-2-aminophenol and B and B' are β -naphthol at the same time), Z and Z' represent respectively -O- or -COO- which is present at the ortho-position to the azo group, which may be the same or different, D and D' represent respectively -O-, -NH- or -N-alkyl- which is present at the ortho-position to the azo group, which may be the same or different, M represents a metal atom, and K represents a cation component derived from dodecylamine, xylidine or dodecyl ethanolamine or a cation component of hexadecyltrimethyl ammonium halogenide or a basic dye].

In the above formula, examples of a metal atom represented by M include iron, aluminum, vanadium, cobalt, chromium and zinc, and chromium and cobalt are particularly preferable.

SERIAL NO. ATTY DOCKET NO. Form PTO 1449 TMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE 245600US0 10/714,853 **APPLICANT** LIST OF REFERENCES CITED BY APPLICANT Hideyuki OTSUKA, et al. FILING DATE **GROUP** November 18, 2003 U.S. PATENT DOCUMENTS **EXAMINER** DOCUMENT SUB FILING DATE DATE NAME **CLASS** INITIAL NUMBER **CLASS** IF APPROPRIATE AA 2001/0004667 A1 06/21/2001 M. OKUBO, et al. AB 5,529,872 06/25/1996 K. GRYCHTOL, et al. AC 5,204,453 04/20/1993 B. CHAMBON, et al. AD 2002/0156161 A1 10/24/2002 R. KOSHIDA, et al. **FOREIGN PATENT DOCUMENTS** DOCUMENT **TRANSLATION** DATE COUNTRY NUMBER YES NO ΑE WO 03/098617 11/27/2003 **WIPO** ΑF 2003-231843 08/19/2003 **JAPAN** AG 2004-2618 01/08/2004 JAPAN AΗ 2003-15364 01/17/2003 JAPAN ΑI 2002-82481 03/22/2002 **JAPAN** 2002-82480 AJ 03/22/2002 **JAPAN** AL2001-356526 12/26/2001 JAPAN AL 2001-194830 07/19/2001 **JAPAN** ΑM 11-133670 05/21/1999 **JAPAN** ΑN 10-48875 02/20/1998 **JAPAN** ΑO 0 977 093 02/02/2000 **EUROPE** AP WO 94/03841 02/17/1994 WIPO (with English Abstract) Х AQ 60-106859 06/12/1985 JAPAN AR 60-107655 06/13/1985 **JAPAN** AS 2-305832 12/19/1990 JAPAN (with English Abstract) Х AT 43-17955 07/30/1968 **JAPAN** Х ΑU 43-27596 11/27/1968 **JAPAN** Х ΑV 57-167033 10/14/1982 JAPAN (with English Abstract) Х JAPAN (with English Abstract and AW 8-500912 01/30/1996 Х corr. US 5,529,872) AX 61-155464 07/15/1986 JAPAN (with English Abstract) Х ΑY 11-20317 01/26/1999 JAPAN (with English Abstract) Х ΑZ 5-192638 08/03/1993 JAPAN (with English Abstract) Х Additional References sheet(s) attached Examiner **Date Considered** *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SHEET 2 OF 2

Form PTO 1449		U.S. DE PRIMER OF COMMERCE PATENT AND PRADEMARK OFFICE		ATTY DOCKET NO.	SERIAL NO.	SERIAL NO.	
(Modified) PATENT AND TRADEMARK OFFICE			EMARK OFFICE	245600US0	10/714,853	10/714,853	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT			
			PLICANT	Hideyuki OTSUKA, et al.			
				FILING DATE	GROUP		
				November 18, 2003			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION YES NO	
	AA	7-32535	02/03/1995	JAPAN (with English Abstract)	150	NO X	
	AB		02/03/1995	JAPAN (with English Abstract)		X	
	AC	<u> </u>	02/03/1995	JAPAN (with English Abstract)		x	
	AD		08/29/1995	JAPAN (with English Abstract)		X	
	AE		02/03/1995	JAPAN (with English Abstract)		×	
	AF	*****	10/03/1987	JAPAN (with English Abstract and corr. US 5,204,453)		×	
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AS						
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	AW						
	AX						
	AY						
	AZ				Additional References s	sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in							
conformance and not considered. Include copy of this form with next communication to applicant.							